

012704  
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**UTILITY  
PATENT APPLICATION  
TRANSMISSION**

**CERTIFICATE OF MAIL (37 CFR 1.8(a))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP PATENT APPLICATION, FEE, Commissioner for Patent, P.O. Box 1450, Alexandria, VA 22313-1450 on January 27, 2004.

Signed:

Laura Lee Mosier

Our Docket No.:	Date: January 27, 2004
A-70028-2/MSS/TJH	

First Named Inventor: SENZAKI, Yoshihide

Title: MULTILAYER HIGH K DIELECTRIC FILMS  
AND METHOD OF MAKING SAME

Express Mail No.: EV 182 097 831 US

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10/7661  
012704

**ADDRESS TO:**

**Mail Stop Patent Application, FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**APPLICATION ELEMENTS**

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Calculation Sheet<br>(Submit an original and a duplicate for fee processing)   | 7.. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)           |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status   | 8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br>(If applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification Total Pages: .11   | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| <input checked="" type="checkbox"/> Descriptive title of the invention  | b. <input type="checkbox"/> Specification Sequence Listing on:   |
| <input checked="" type="checkbox"/> Cross References to Related Applications  | i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or  |
| <input type="checkbox"/> Statement Regarding Fed. Sponsored R&D   | ii. <input type="checkbox"/> Paper   |
| <input type="checkbox"/> Reference to Microfiche Appendix   | c. <input type="checkbox"/> Statement verifying identify of above copies                                       |
| <input checked="" type="checkbox"/> Background of the Invention   |  |
| <input checked="" type="checkbox"/> Brief Summary of the Invention  |  |
| <input checked="" type="checkbox"/> Brief Description of the Drawings   |  |
| <input checked="" type="checkbox"/> Detailed Description  |  |
| <input checked="" type="checkbox"/> Claims  |  |
| <input checked="" type="checkbox"/> Abstract of the Disclosure  |  |
| 4. <input checked="" type="checkbox"/> Drawings (35 U.S.C. 113) Total Sheets: 4   | 9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)                                      |
| 5. <input type="checkbox"/> Unexecuted Oath or Declaration Total Pages:   | 10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))                                     |
| a. <input type="checkbox"/> Newly Executed (original or copy)   | 11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission  |
| b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. 1.63(d)) (for continuation/divisional with Box 19 completed)   | 12. <input type="checkbox"/> Power of Attorney   |
| 1. <input type="checkbox"/> <u>DELETION OF INVENTORS</u><br>Signed statement attached deleting inventor(s) named in the prior application (37 C.F.R. 1.63(d)(2) and 1.33(b))  | 13. <input type="checkbox"/> English Translation Document (if applicable)                                      |
| 6. <input type="checkbox"/> Application Data Sheet (37.C.F.R. 1.76)   | 14. <input type="checkbox"/> Information Disclosure Statement (with Copies of Citations as necessary)          |
| 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:<br><input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP)    of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001 | 15. <input type="checkbox"/> Preliminary Amendment Total Pages:  |
|   | 16. <input checked="" type="checkbox"/> Return Receipt Postcard<br>(Should be specifically itemized)           |
|   | 17. <input type="checkbox"/> Certified Copy of Priority document(s)<br>(if foreign priority is claimed)        |
|   | 18. <input type="checkbox"/> Other   |

**ACCOMPANYING APPLICATION PARTS**

- |   |
|---|
| 9. <input type="checkbox"/> Certification Under 35 U.S.C. 122(b)(2)(B)(i)                               |
| 10. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))                              |
| 11. <input type="checkbox"/> 37 C.F.R. 3.73(b) Submission   |
| 12. <input type="checkbox"/> Power of Attorney  |
| 13. <input type="checkbox"/> English Translation Document (if applicable)                               |
| 14. <input type="checkbox"/> Information Disclosure Statement (with Copies of Citations as necessary)   |
| 15. <input type="checkbox"/> Preliminary Amendment Total Pages:   |
| 16. <input checked="" type="checkbox"/> Return Receipt Postcard<br>(Should be specifically itemized)    |
| 17. <input type="checkbox"/> Certified Copy of Priority document(s)<br>(if foreign priority is claimed) |
| 18. <input type="checkbox"/> Other  |

## 19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation     Division     Continuation-in-part (CIP)    of prior Application No.: 10/056,625 filed January 25, 2002, which claims the benefit of U.S. Provisional Application No. 60/264,428 filed January 26, 2001

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference

**CORRESPONDENCE ADDRESS**

ATTY NAME	Tianjun Hou Reg. No. 51,821	SIGNATURE:	DATE: January 27, 2004
ADDRESS	DORSEY & WHITNEY LLP Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187	Customer Number: 32940	
TELEPHONE	(650) 494-8700		
FAX	(650) 494-8771		

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**APPLICATION  
TRANSMITTAL SHEET  
(FY 2004)**

<i>Complete if Known</i>	
Application No.	to be assigned
Filing Date	January 27, 2004
First Named Inventor	Yoshihide SENZAKI
Group Art Unit	2811
Examiner Name	IM, Junghwa M.
Atty. Docket Number	A-70028-2/MSS (468330-964)

METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)		
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>50-2319</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>			<b>3. ADDITIONAL FEES</b>		
	Large Entity Fee	Small Entity Fee	Fee Description		Fee paid
			50	25	Surcharge - late provisional filing fee or cover sheet
			130	65	Surcharge – Late nonprovisional filing fee or oath
			180	180	Submission of IDS
			40	40	Recording each patent assignment per property (times number of properties)
			110	55	Extension for reply within first month
			420	210	Extension for reply within second month
			950	475	Extension for reply within third month
			1,480	740	Extension for reply within fourth month
			2010	1005	Extension for reply within fifth month
			770	385	Submission After Final 1.129
			330	165	Notice of Appeal
			330	165	Filing a brief in support of an appeal
			290	145	Request for oral hearing
			110	55	Terminal Disclaimer Fee
			130	130	Petitions to the Commissioner
			50	50	Petitions related to provisional applications
			1,330	665	Utility/Reissue Issue Fee (including advance copies)
			480	240	Design Issue Fee (inc. advance copies)
			770	385	Request for Continued Examination (RCE)
			300	300	Publication fee for early, voluntary, or normal publication
			300	300	Publication fee for re-publication
			110	55	Petition to Revive – unavoidable
			1,330	665	Petition to Revive – unintentional
*Calculation of Extra Claim Fees			OTHER FEE (specify):		
Large Entity Fee	Small Entity Fee	Fee Description	Subtotal (3)		385.00
18	9	Claims in excess of 20			
86	43	Independent claims in excess of 3			
290	145	Multiple dependent Claim			
86	43	Reissue independent claims over original patent			
18	9	Reissue claims in excess of 20 and over original patent			
Total Amount of Payment:					385.00

**Submitted by:**

Name: Tianjun Hou, Esq.	Reg. No.: 51,821	Telephone: 650-494-8700
DORSEY & WHITNEY LLP	Four Embarcadero Center, Suite 3400 San Francisco, California 94111-4187	<b>Customer Number 32940</b>
Signature: 		Date: January 27, 2004